

**ANGEL OAK MORTGAGE SOLUTIONS
THIRD PARTY ORIGINATOR BROKER APPLICATION SUBMISSION CHECKLIST**

The documents below are requested to begin the review process for Broker approval. As you follow the steps for Application Submission in the Comergence system, you will receive prompts to upload some or all of these documents and to complete the system generated questionnaire.

<input type="checkbox"/>	Complete Broker Application Package signed by an owner or principal of the company
<input type="checkbox"/>	Broker Agreement completed and signed by an owner or principal of the company
<input type="checkbox"/>	Broker Compensation Addendum completed and signed by an owner or principal of the company
<input type="checkbox"/>	Company Resolution identifying individuals authorized to obligate the company; if Sole Proprietorship, provide a letter from the owner identifying who can obligate the company
<input type="checkbox"/>	Resume for at least one principal owner, majority stockholder (person with 10% or more ownership interest) and key staff members whose experience and knowledge is relied upon to run daily operations
<input type="checkbox"/>	User Access Request Form to include all individuals that will need access to AOMS systems
<input type="checkbox"/>	W-9 Request for Taxpayer ID Number – Complete the W-9 (10/2018 version) with all required information
<input type="checkbox"/>	DBA Filing and/or fictitious business name statement for other trade names listed on the company’s NMLS record
<input type="checkbox"/>	A statement covering the status of any lawsuit settled or finalized with current or future monetary obligations and a status of any open legal actions

(Revised 05-05-2022)